

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:) Art Unit: 3627
Dillon) Examiner: Unknown
) 16698.11.18

Serial No: 10/578,037

Filed: May 2, 2006

For: AUTHENTICATION AND
TRACKING SYSTEM

To: Commissioner for Patents
P.O. Box 1450
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

This communication is being transmitted along with this Disclosure Statement to incorporate that US Pub. No. 2003/0141358, having been previously disclosed by Applicant via Information Disclosure Statement filed on December 1, 2006, claims priority to PCT No. PCT/GB01/02480, published as Pub. No. WO/0195249. PCT/GB01/02480 is the parent of the following three filed Great Britain patent applications:

- (i) application no. GB001366A0, filed June 5, 2000;
- (ii) application no. GB0015091A0, filed June 20, 2000; and
- (iii) application no. GB0019258A0, filed August 4, 2000.

The above Great Britain patent applications are not available for citing in this Supplemental Information Disclosure Statement as they were withdrawn before being published. Therefore, we have only cited WO/0195249 herein.

Respectfully submitted,

/John S. Parzych/

Dated: December 21, 2006

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number	10701377
	Filing Date	2003-11-03
	First Named Inventor	David G. Dillon
	Art Unit	3827
	Examiner Name	Gerald J. O'Connor
Attorney Docket Number		16698.11.1

U.S. PATENTS						
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	1	WO/0195249	GB		2001-12-13	OP-TAGLIO LIMITED		<input type="checkbox"/>

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**INFORMATION DISCLOSURE
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1	World Health Organization. Substandard and counterfeit medicines. [retrieved on 2006-12-15] retrieved from the internet http://www.who.int/mediacentre/factsheets/fs275/en/print.html	<input type="checkbox"/>
2	HOPKINS, DM, KONTEK, LT, and TURNAGE, MT. Counterfeiting Exposed; Protecting Your Brand and Customers, Chapter 12, "Anticounterfeiting Technology Solutions," pp 249-262	<input type="checkbox"/>
3	JOHNSTON, RG. Tamper detection for safeguards and treaty monitoring: fantasies, realities, and potentials. Nonproliferation Review 2001 Spring; 8 (1); 102-115	<input type="checkbox"/>
4	JOHNSTON, RG and GARCIA, ARE. An annotated taxonomy of tag and seal vulnerabilities. Journal of Nuclear Materials Management 2000 Spring; 229 (3); 23-30	<input type="checkbox"/>
5	JOHNSTON, RG. Tamper-indicating seals for nuclear disarmament and hazardous waste management. Science and Global Security 2001; 9 (2); 93-112	<input type="checkbox"/>
6	JOHNSTON, RG, GARCIA, ARE, and PACHECO, AN. Efficacy of tamper-indicating devices. Journal of Homeland Security 2002, April 16	<input type="checkbox"/>
7	JOHNSTON, RG and GARCIA, ARE. Analyzing vulnerability results for tag and tamper-indicating seals. Proceedings of the U.S. Army Conference on Applied Statistics; 2001 October 24-26; Santa Fe, NM. pp. 60-88	<input type="checkbox"/>
8	KARSTEN, R. Tags tag counterfeits: as counterfeiting methods become increasingly sophisticated, more advanced technologies are needed to fight back. Manufacturing Chemist 2003 January 1; 73 (1) [retrieved 2006-11-30] retrieved from the internet http://www.manufacturing-chemist.info/story.asp?storyCode=15145	<input type="checkbox"/>
9	KONTEK, L. Counterfeits: the cost of combat. Pharmaceutical Executive 2003 November 1 [retrieved 2006-12-15] retrieved from the internet http://www.pharmexec.com/pharmexec/content/printContent?popup.jsp?id=75674	<input type="checkbox"/>

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EXAMINER SIGNATURE

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner Name	Gerald J. O'Connor
Attorney Docket Number	16696 11.1

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Attorney Docket Number	16698.11.1

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

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- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/John S. Parzych/	Date (YYYY-MM-DD)	2006-12-21
Name/Print	John S. Parzych	Registration Number	52097

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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